

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
1.902.15

In Re Application Of:

#4

R. TerryK. Baker, et al

Serial No.	Filing Date	Examiner	Group Art Unit
Not Yet Assigned	December 11, 2001	Not Yet Assigned	Not Yet Assigned

Title:

CATALYSTS FOR PRODUCING NARROW CARBON NANOSTRUCTURES

10781 U5453 pro
12/11/01

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

1C10/015453 PRO
12/11/01

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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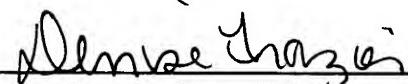
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Signature

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NO FEE IS DUE

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

1.902

Application Number

Not Yet Assigned

Applicant(s)

R. Terry K. Baker, et al.

Filing Date

December 11, 2001

Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,159,538	12/12/00	Rodriguez, et al.	427	213.31	05/15/99
	BB	5,653,951	08/05/97	Rodriguez, et al.	423	439	05/05/95
	CC	5,618,875	04/08/97	Baker, et al.	524	495	04/08/97
	DD	5,458,784	10/17/95	Baker, et al.	210	674	01/17/95
	EE	5,413,866	05/09/95	Baker, et al.	423	447.2	09/18/92
	FF	5,413,866	05/09/95	Baker, et al.	423	447.2	09/18/92
	GG	5,165,909	11/24/92	Tennent, et al.	423	447.3	10/01/90
	HH	5,149,584	09/22/92	Baker, et al.	428	297	10/23/90
	II	4,663,230	05/05/87	H. J. Tennent	428	367	12/06/84

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

M. S. Dresselhaus, et al.; "Fullerenes"; Journal of Materials Research, Vol. 8, No. 8, August 1993; PP 2087-2092

A1

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.